

## Protecting the Company and Client: Agency Inspections and Criminal Prosecution

PRESENTED BY DRI'S CORPORATE COUNSEL COMMITTEE AND WOMEN IN THE LAW COMMITTEE

On September 9, 2015, Sally Yates, Deputy Attorney General for the U.S. Department of Justice, released a memo entitled "Individual Accounting for Corporate Wrongdoing," directing all U.S. attorneys to be increasingly vigilant in pursuing individuals potentially involved in corporate crimes. The Yates Memo is likely to change the way companies approach agency investigations, since it dictates that "[b]oth criminal and civil corporate investigations should focus on individuals from the inception of the investigation." This webinar will focus on the impact on the roles of in-house and outside counsel with the threat of criminal prosecution looming large.

**THURSDAY, APRIL 21, 2016**

2:00 p.m. – 3:30 p.m. Eastern

1:00 p.m. – 2:30 p.m. Central

12:00 p.m. – 1:30 p.m. Mountain

11:00 a.m. – 12:30 p.m. Pacific

### Who Should Attend

- In-house counsel with responsibility for regulatory and agency matters
- Outside counsel advising companies on regulatory and agency matters
- Outside counsel advising companies on white-collar criminal matters

### What You Will Learn

- How to prepare for and respond to an agency inspection
- How to protect the company during and after an agency inspection
- The ethical issues involved when corporate executives may be criminal targets

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### Webinar Program Chair

**Suzanne Ilene Schiller**, Manko Gold Katcher Fox LLP, Bala Cynwyd, Pennsylvania

### Law Institute Liaison

**Laurie K. Miller**, Jackson Kelly PLLC, Charleston, West Virginia

### Speakers



**Amy Richardson** is a partner with the law firm of Harris, Wiltshire & Grannis LLP. Ms. Richardson's extensive white-collar criminal defense experience includes counseling clients for grand jury appearances, successfully negotiating immunity agreements, participating in debriefings with prosecutors, and trial work. Ms. Richardson was named a "Rising Star" by Chambers USA and ranked among Business North Carolina magazine's "Legal Elite."



**Jill Kaplan** is a partner at Manko, Gold, Katcher & Fox, LLP. Ms. Kaplan focuses her practice on environmental and safety counseling and litigation. She has handled many OSHA compliance and enforcement matters for clients across the country, including matters involving fatalities and serious injuries, and advises clients on complex environmental regulatory schemes involving matters ranging from waste and stormwater to asbestos and lead.



**Laurence Horvath** is in-house EHS & Product Safety counsel for GE's Power and Renewable Energy businesses. He supports GE's worldwide operations, including a diverse range of power generation equipment and other energy products, power plant construction, manufacturing, and customer service work ranging from full service contracts to O&M. His responsibilities include providing legal counsel during agency investigations and other matters related to environmental and occupational health and safety regulations.

### Moderator



**Tenley Armstrong's** private practice focuses on the areas of white collar criminal defense, product liability, and drug and medical device. She has represented individuals and entities in criminal and regulatory investigations and prosecutions, and has assisted individuals and entities accused of a variety of criminal violations, including bank fraud, healthcare fraud, and anti-kickback violations during the pre-indictment investigation and through plea negotiations and/or trial and sentencing. Prior to joining Lightfoot, Franklin & White, she was legislative counsel in the U.S. Senate, Committee on the Judiciary, Subcommittee on Administrative Oversight and the Courts.

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